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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
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09/148,606 09/04/98 YONEDA

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EXAMINER

WM02/0813

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ART UNIT

PAPER NUMBER

2674

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08/13/01

**Please find below and/or attached an Office communication concerning this application or proceeding.**

**Commissioner of Patents and Trademarks**

# Office Action Summary

Application No.  
09/148,606

Applicant(s)  
Yoneda et al.

Examiner  
Ali Zamani

Art Unit  
2674



-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

## Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136 (a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

## Status

- 1) ☒ Responsive to communication(s) filed on May 17, 2001
- 2a) ☒ This action is FINAL. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 35 C.D. 11; 453 O.G. 213.

## Disposition of Claims

- 4) ☒ Claim(s) 1-22 is/are pending in the application.
- 4a) Of the above, claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-8, 10-13, 15, 16, and 19 is/are rejected.
- 7) ☒ Claim(s) 9, 14, 17, 18, and 20-22 is/are objected to.
- 8) ☐ Claims \_\_\_\_\_ are subject to restriction and/or election requirements.

## Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are objected to by the Examiner.
- 11) ☐ The proposed drawing correction filed on \_\_\_\_\_ is: a) ☐ approved b) ☐ disapproved.
- 12) ☐ The oath or declaration is objected to by the Examiner.

## Priority under 35 U.S.C. § 119

- 13) ☐ Acknowledgement is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d).
- a) ☐ All b) ☐ Some\* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \*See the attached detailed Office action for a list of the certified copies not received.
- 14) ☐ Acknowledgement is made of a claim for domestic priority under 35 U.S.C. § 119(e).

## Attachment(s)

- 15) ☐ Notice of References Cited (PTO-892)
- 16) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 17) ☒ Information Disclosure Statement(s) (PTO-1449) Paper No(s). 10
- 18) ☐ Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_
- 19) ☐ Notice of Informal Patent Application (PTO-152)
- 20) ☐ Other: \_\_\_\_\_

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### DETAILED ACTION

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless --

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 1-4 are rejected under 35 U.S.C. 102(b) as being anticipate by Kato et al. (US Pat. No. 5,589,406)

3. In regard to claims 1-4, Kato et al disclose a semiconductor device include a TFT substrate (100) for a liquid crystal display device wherein a pixel region (5), row driver circuit (6) and column driver circuit 7 are formed on a glass substrate (col. 7, lines 56-59). In the pixel region 5, switching transistors such as TFTs having polycrystalline semiconductor channels, pixel electrode lines (gate bus lines) are arranged in a matrix form. The semiconductor layers (channels, sources and drains) of these TFT circuits are composed of polycrystalline Si which is formed by beam-annealing (col. 8, line 23). In beam-annealing the glass substrate over a range having a width (fig. 4), the structure of each of the row driver circuit (6) is so formed that the transistors in the row driver circuit (6) are disposed on the same line as the transistors for picture display (col. 8, lines 29-35), in some or all of said semiconductor elements, a channel width of a channel region formed in a semiconductor layer to which laser annealing is applied is larger than a channel length thereof, see examples 2 and 3, cols. 9 and 10 which all function as claimed.

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4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

5. Claims 5- 8, 10-13, 15-16, 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kato et al in view of Koyama et al (US Pat. No. 6,037,924).

6. In regard to claims 5-8, 10-13, 15-16 and 19, Kato et al is discussed above. Kato et al show all the above claimed limitations except for the “plurality of second thin-film transistors constituting a scanning drive circuit for scanning said plurality of first thin-film transistors”. However, Koyama et al disclose a matrix type liquid-crystal display unit in which a pixel is arranged at each intersection of a matrix which is made up of signal lines 1 and scanning lines 2 (gate line), which is arranged in parallel to a subject row, being connected to gate electrode of thin-film transistor 4 of the subject row, and a column in the matrix is defined by the signal line 1 (source line), which is arranged in parallel to a subject row being connected to a source (or drain) electrode of the thin-film transistor (4) (column 1, lines 14-35) and as reference (Fig. 7), n-type TFT, first an island-like region (701) made of intrinsic polysilicon is formed. Then, a gate insulating film is formed, and a gate electrode film is formed on the gate insulating film. The gate electrode film is etched to form a gate electrode (702). Therefore, the island-like region (701) is doped with n-type impurities to form an n-type source/drain region (703). when a negative voltage is applied to the p-type layer (906), a depletion layer (907) defined between the channel

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(905) which is an n-type layer of the channel p-type layer (906) formed under the channel (905) spreads and serves to suppress the channel (905), thereby making it difficult to allow a current to flow into the channel (905). As a result, the threshold value becomes large. On the other hand, when a positive voltage is applied to the p-type layer (906), the depletion layer (907) is narrowed to make the current readily flow thereinto. As a result, the threshold value is reduced. Thus it would have been obvious to one of ordinary skill in the art to utilize the noted teaching of Koyama et al with the display device of Kato et al because both references are related to liquid-crystal display for controlling a timing for rewriting image data in each pixel, so as to provide a high-resolution animation in a large image plane (Figs. 1-7, cols 1, 3 and 7).

7. Claims 9, 14, 17, 18, 20-22 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Masumo et al, Sakamoto and Kubota et al are made of record to show various types of polycrystalline semiconductor (TFTs) and method of making TFT display.

### ***Response to Arguments***

9. Applicant's arguments filed on 05-17-01 with respect to claims 1-22 have been fully considered but they are not persuasive.

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a. On page 2, Applicant's argues that Kato does not teach or suggest that the channel width direction of the driver transistors differs from the side directions of the substrate of the display device or from the longitudinal and/or short axial directions of the laser beam used to anneal the display device. However, the examiner contends that Kato does teach the channel width direction of the driver transistors differs from the side directions of the substrate of the display device or from the longitudinal and/or short axial directions of the laser beam used to anneal the display device (col. 5, lines 26-53) and (Fig. 7, col. 7, lines 16-51).

### *Conclusion*

10. All claims are drawn to the same invention claimed in the parent application prior to the filing of this Continued Prosecution Application under 37 CFR 1.53(d) and could have been finally rejected on the grounds and art of record in the next Office action. Accordingly, **THIS ACTION IS MADE FINAL** even though it is a first action after the filing under 37 CFR 1.53(d). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37

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CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Ali Zamani whose telephone number is (703) 308-6414. The examiner can normally be reached on Monday through Friday from 8:00 a.m. to 5:00 p.m.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Richard A. Hjerpe, can be reached on (703) 305-4709. The fax phone number for the organization where this application or proceeding is assigned is (703) 308-9051.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

Ali Zamani

Aug. 10, 2001

  
**XIAO WU**  
**PRIMARY EXAMINER**